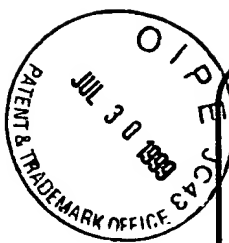


Section # 47

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/307,452	
	Filing Date	May 7, 1999	
	First Named Inventor	Tal Lavian	
	Group Art Unit	2766	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	NTL-3.2.076/2120

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> POSTCARD </div>
Remarks: POSTCARD		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	LAWRENCE E. RUSS
Signature	<i>Lawrence E. Russ</i>
Date	July 27, 1999

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/27/99			
Typed or printed name	Lucretia Husain		
Signature	<i>Lucretia Husain</i>	Date	7/27/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

0001/PTO
Rev. 10/97

U.S. Department of Commerce
Patent and Trademark Office

Complete if Known

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$)

170.00

Application Number 09/307,452
Filing Date May 7, 1999
First Named Inventor Tal Lavian
Group Art Unit 2766
Examiner Name
Attorney Docket Number NTL-3.2.076/2120

METHOD OF PAYMENT (Check One)

1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 03-2317
Deposit Account Name: COBRIN & GITTES

☐ Charge Any Additional Fee
Required Under 37 CFR 1.16 and
1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18
at the Mailing of the Notice of
Allowance, 37 CFR 1.311(b)

2 ☒ Payment Enclosed:

(reserved space)

☒ Check
☐ Money Order
☐ Other

FEE CALCULATION (fees effective 10/1/96)

1 FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	

SUBTOTAL (1) (\$)

2 CLAIMS

Extra Fee from Below Fee Paid
Total Claims - 20 = X =

Independent Claims - 3 = X =

Multiple Dependent Claims =

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
102	82	202	41	Independent claims in excess of 3	
103	22	203	11	Claims in excess of 20	
104	270	204	135	Multiple dependent claim	
109	82	209	41	Reissue independent claims over original patent	
110	22	210	11	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

3 ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Filing a request for reexamination	
112	920	112	920	Requesting publication of SIR - Prior to examiner's action	
113	1,840	113	1,840	Requesting publication of SIR - After examiner's action	
115	110	215	55	Extension for response within 1st month	
116	400	216	200	Extension for response within 2nd month	
117	950	217	475	Extension for response within 3rd month	
118	1,510	218	755	Extension for response within 4th month	
128	2,060	228	1,030	Extension for response within 5th month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,320	241	660	Petition to revive unintentionally abandoned application	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (X no. properties)	40
?	220	?	220	Late Claim for priority during pendency	
138	1,510	138	1,510	Protest after application published	
114	150	214	75	Provisional Application filing	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$)

170.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Lawrence E. Russ

Reg. Number 35,342

Signature Lawrence E. Russ

Date 7/27/99

Deposit Account User ID 03-2317

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231, and to the Office of Information and Regulatory Affairs, Office of Management and Budget (Project 0651-0032), Washington, D.C. 20503. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, D.C. 20231.

#3

CERTIFICATE OF MAILING

Attorney Docket No.: NTL-3.2.076.2120

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231,

on July 27, 1999 (Date of Deposit)

7-27-99 Lucretia Harris

Date

Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lavian, et al.

Serial No.: 09/307,452

Filed: May 7, 1999

For: **SECURITY ASSOCIATION MEDIATOR
FOR JAVA-ENABLED DEVICES**

:
:
: Group Art Unit: 2766
:
: Examiner:
:
:
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:
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RESPONSE

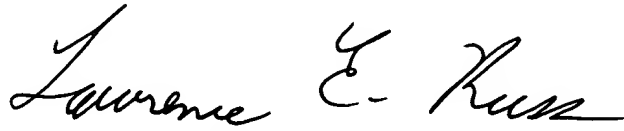
BOX MISSING PARTS
Assistant Commissioner Of Patents
Washington, DC 20231

S I R :

Responsive to the Notice to File Missing Parts of Application dated May 28, 1999, enclosed are declarations signed by the inventors of the present application, Tal Lavian, Franco Travostino, Thomas Hardjono and Rob Duncan, together with the fee of \$130.00 as set forth in 37 CFR 1.16(e).

Also enclosed are Assignments executed by each of the inventors, as well as a Recordation
Form Cover Sheet.

An early consideration and allowance of this application are respectfully requested.

A handwritten signature in cursive script, reading "Lawrence E. Russ". The signature is written in dark ink and is positioned above a horizontal line.

Lawrence E. Russ
Reg. No. 35,342

COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY, DOCKET NO./TITLE
09/307,452	05/07/99	LAVIAN	T NTL-3.2.076/

0242/0528

COBRIN & GITTES
750 LEXINGTON AVENUE 21ST FLOOR
NEW YORK NY 10022

NOT ASSIGNED

2766

DATE MAILED: 05/28/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$_____.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

William A. ...

Customer Service Center
Initial Patent Examination Division (703) 308-1202

JUN 01 1999

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